

## Compliance Application Form – AMLcheck™

### Anti-Money Laundering – Counter-Terrorism Financing Act

Under the phased introduction of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF)*, all Finance/Mortgage Brokers must have in place an AML/CTF program, implementing appropriate procedures relating to the Identification and Verification of customers and a robust record-keeping processes.

The FBAA, has formed a partnership with Deloitte Australia to ensure you meet your ongoing compliance obligations without having to implement costly new systems and processes. With the following services available to you:

- **Screening & Name Checking:** Access for your business to register for cost effective tools that support the rules around terrorism financing and Know Your Customer (KYC).
- **Education & Assessment:** In-line with our CPD program, members will be able to access several AML/CTF courses. Providing an online forum incorporating both generic and custom training modules to ensure your CPD obligations are met
- **Webinars & Podcasts:** More channels to keep you and your teams informed
- **Austrac, The Regulator On-Tap:** Access to events where we'll be able to learn from Austrac and help ensure that you have a direct line to the Regulator
- **Constantly re-assessing:** As the compliance program rolls out over the coming years we will be constantly re-assessing the elements in our program to ensure you are meeting your ongoing obligations

Deloitte **AMLcheck™** can be easily adopted by FBAA members to incorporate into their existing or planned processes as it is a web-based product. To begin using **AMLcheck™**, no costly software integration process needs to be undertaken – the only required technology is an internet connection and browser.

**The Cost:** FBAA Members, per person for the first twelve months **\$84.00** each (incl GST).  
NON Members, per person for the first twelve months **\$275.00** each (incl GST).

<b><u>I would like to register:</u></b>		<b>PART A – SUBSCRIBER DETAILS</b>	
A.1 Name:			
A.2 Email:			
A.3 Telephone number:			
A.4 Are you FBAA Member?	Yes / No	A.5 FBAA Membership Number:	
<b>EMPLOYER DETAILS - Please turn the page and fill in the details of Part B, Part C &amp; Part D</b>			
<b>PAYMENT DETAILS</b>			
Name: _____			
Card Number: _____			
Type of Card	<input type="checkbox"/> Visa	<input type="checkbox"/> Mastercard	<input type="checkbox"/> Amex <input type="checkbox"/> Diners
(Please Tick)			
Name on Card: _____			
Amount:	\$ _____	Card Expiry:	____ / ____
Signature: _____			

Please print and complete the form and then either fax to +61 (0) 7 3041 0350 or scan and email to [info@fbaa.com.au](mailto:info@fbaa.com.au)

<b>PART B – EMPLOYER DETAILS</b>			
B.1 Name:			
B.2 ABN/ACN:			
B.3 Member number:			
B.4 Address:			
B.5 Administrator name:			
B.6 Administrator email:			
B.7 Number of users:			
<b>PART C – PARENT COMPANIES</b>			
C.1 Does your employer have any shareholders who own 20% or more of your employer?			
Yes <input type="checkbox"/> (go to C.2)      No <input type="checkbox"/> (go to Part D)      Unsure <input type="checkbox"/>			
C.2 If you answered "yes" to C.1, please list all owners that own 20% or more of your employer:			
C.2.1	Name:		
	ABN/ACN:	Shareholding:	%
C.2.2	Name:		
	ABN/ACN:	Shareholding:	%
C.2.3	Name:		
	ABN/ACN:	Shareholding:	%
C.2.4	Name:		
	ABN/ACN:	Shareholding:	%
C.2.5	Name:		
	ABN/ACN:	Shareholding:	%
C.3. Is your employer ultimately owned by an entity other than those listed above?			
Yes <input type="checkbox"/> (go to C.4)      No <input type="checkbox"/> (go to Part D)      Unsure <input type="checkbox"/>			
C.4 If you answered "yes" to C.3, please list the ultimate parent company for your employer:			
Name:			
ABN/ACN:			
<b>PART D – AUDITOR</b>			
D.1 Is either your employer, its parent companies or its ultimate parent company (where applicable) audited by Deloitte?			
		Yes <input type="checkbox"/>	No <input type="checkbox"/> Unsure <input type="checkbox"/>
<b>PART E – PRODUCT UPDATES</b>			
<input type="checkbox"/> Tick this box to receive product information and updates from Deloitte Digital (you can unsubscribe to this at any time).			

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