



## Finance Brokers Association of Australia Limited (FBAA) Membership Complaint Form

### Contact Information

#### Your Details

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Number: \_\_\_\_\_

Email address: \_\_\_\_\_

A Membership Complaint can be made by a person who was an applicant for membership or who was formerly a member of the FBAA, or if the applicant or former member is a company, an authorised representative (such as a company director) of that company.

Please identify whether the relevant member was a:	<input type="checkbox"/>	Person
	<input type="checkbox"/>	Company.
If you ticked company, please identify the company:	_____	
If you were a member, please identify the membership number:	_____	

### Particulars of the Complaint

A Membership Complaint is made under the Disciplinary Rules of the FBAA (**Rules**). Under these Rules, a membership complaint can be made where a person considers that the FBAA's decision to refuse a membership application or an application for membership renewal was made improperly. In the space provided below, please identify how the FBAA's decision was made improperly. If more space is required, please:

- write this on a separate piece of paper;
- mark this separate piece of a paper as an "Annexure"; and
- refer to the Annexure in the space below as well as the number of pages of the Annexure.



### **Supporting Information**

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Please list the documents which support the above complaint in the space below. Please provide these to the FBAA with this form.

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### **Confirmation**

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I wish to make a Misconduct Complaint pursuant to rule 3.1.3 of the Disciplinary Rules of the FBAA against the relevant Member and on the grounds stated in this form.

Signed:	
Name:	
Date:	

Please return signed complaint form and email back with supporting information to  
[jpurvis@fbaa.com.au](mailto:jpurvis@fbaa.com.au).